

ET42007830543

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:  Debbie Ann Godwin, <i>et al</i>	: Group Art Unit:
Serial No.:	: Intellectual Property Law Department
Filed:	: International Business Machines Corp.
Title: "Host-based Systematic Attack Detection Tool"	: 11400 Burnet Road
	: Internal Zip 4054
	: Austin, Texas 78758

jc872 U.S. PTO  
09/942552  
08/30/01  
#2

Date: 8-24-01

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner of Patents  
Washington, D. C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98 (a)(1).

A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

A handwritten signature in black ink that reads "David Mims, Jr." with a stylized flourish at the end.

David A. Mims, Jr.  
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In Place of FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

Serial No. \_\_\_\_\_

Applicant: Debbie Ann Godwin, et al

Filing Date: \_\_\_\_\_

Group: \_\_\_\_\_

Atty. Docket No. AUS920010597US1

3672 U.S. PTO  
09/942552  
08/30/01

Reference Designation

U. S. PATENT DOCUMENTS

Examiner	Document						Filing Date if
<u>Initial</u>	<u>Number</u>	<u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Subclass</u>	<u>Appropriate</u>	
_____	AA 5,892,903	04/06/99	Klaus	395	187.01		
_____	AB 6,088,804	07/12/00	Hill, et al	713	201		
_____	AC 5,850,516	12/15/98	Schneier	395	186		
_____	AD 6,006,328	12/21/99	Drake	713	200		
_____	AE						

FOREIGN PATENT DOCUMENTS

Examiner	Document						Translation
<u>Initial</u>	<u>Number</u>	<u>Date</u>	<u>Country</u>	<u>Class</u>	<u>Subclass</u>	<u>Yes</u>	<u>No</u>
_____	AF						
_____	AG						
_____	AH						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner

Initial

\_\_\_\_\_ AI  
\_\_\_\_\_ AJ  
\_\_\_\_\_ AK

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.